

Serial No. 09/526,600

PATENT  
RCA 89,602

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas Patrick Newberry et al.  
Serial No. : 09/526,600  
Filed : March 16, 2000  
For : PROGRAM GUIDE INFORMATION AND PROCESSOR FOR  
PROVIDING PROGRAM AND CHANNEL SUBSTITUTION  
Art Unit : Not available

**FILING OF MISSING PARTS OF APPLICATION -  
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), mailed May 23, 2000 with response due Sunday, July 23, 2000 and relating to the above-identified application Serial No. 09/526,600, Applicants hereby submit the following and authorize the following charges:

- 1) An executed Declaration and Powers of Attorney.
  - 2) A surcharge of \$130.00 required under 37 CFR 1.16(e) for filing the Declaration on a date later than the filing date of the application.
  - 3) A copy of Notice to File Missing Parts of Nonprovisional Application.
- Please charge the surcharge to Deposit Account No. 07-0832.

Respectfully Submitted,  
THOMAS PATRICK NEWBERRY ET AL.

BY: Alexander J. Burke  
Alexander J. Burke, Attorney  
Registration No. 40,425  
(609) 734-9503

Thomson Multimedia Licensing Inc.  
Patent Operations  
P. O. Box 5312  
Princeton, NJ 08543-5312

August 4, 2000

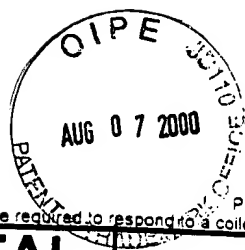
**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231,

on:

4 August 2000  
Date

Alexander J. Burke  
Alexander J. Burke



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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)  
240.00

## Complete if Known

Application Number 09/526,600  
Filing Date March 16, 2000  
First Named Inventor Newberry, et al.  
Examiner Name  
Group / Art Unit  
Attorney Docket No. RCA 89,602

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 07-0832

Deposit Account Name THOMSON multimedia  
Licensing Inc.

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20**	X	
Multiple Dependent	-3**	X	

\*\*or number previously paid, if greater; For Reissues, see below

### Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	110
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) Missing Parts-Declaration 130

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
240

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Alexander J. Burke	40,425	609-734-9503
Signature	Date	
Alexander Burke	4 August 2000	

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## FORMALITIES LETTER

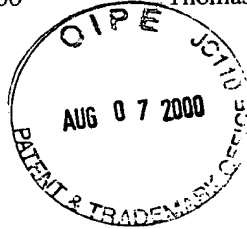


\*OC00000005134726\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/526,600	03/16/2000	Thomas Patrick Newberry	RCA 89,602

Joseph S Tripoli  
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Date Mailed: 05/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

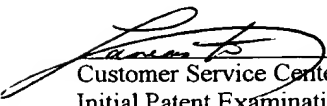
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/09/2000 HAMMOND 00000033 070832 09526600

01 FC:105 130.00 CH

5/23/00 9:25 AM